



PATENT
Docket No.: SYM-0625-1B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

Group Art Unit: 2182 Examiner: Unknown
Serial No. 09/823,208 Filed: March 30, 2001

In re Application of: Herrod, et al.

For: CONFIGURABLE OPERATING SYSTEM FOR I/O CONNECTIVITY

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Box Missing Parts, Washington, D.C. 20231 on June 5, 2001, Signed Carolyn Thompson
Carolyn Thompson

RESPONSE TO NOTICE TO FILE MISSING PARTS

Director of Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated April 26, 2001, please find enclosed herewith:

1. Declaration executed by the inventor(s) in the prior application, Serial No. 09/107,838, filed June 30, 1998, along with the response to Missing Parts of Application in said file;

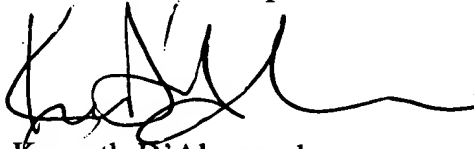
2. Check in the amount of \$2768.00 for filing fee and surcharge for assignee as a large entity calculated as follows:

| | |
|---|------------|
| Basic filing fee - Fee Code 101 | \$ 710.00 |
| 7 additional independent claims - Fee Code 102 | \$ 560.00 |
| 76 additional claims in excess of twenty - Fee Code 103 | \$ 1368.00 |
| Surcharge - Fee Code 105 | \$ 130.00 |
| Total | \$ 2768.00 |

3. Copy of Notice to File Missing Parts.

The Assistant Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0612. A duplicate of this transmittal page is enclosed.

Respectfully submitted,
Sierra Patent Group, Ltd.

A handwritten signature in black ink, appearing to read 'Kenneth D' Alessandro', with a long horizontal flourish extending to the right.

Kenneth D' Alessandro
Reg. No. 29,144

Dated: June 5, 2001

Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449
(775) 586-9500



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/823,208 | 03/30/2001 | Alan Herrod | SYM-0625-1B |

CONFIRMATION NO. 9472

FORMALITIES LETTER



OC000000006011308

Kenneth D'Alessandro
Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449

Date Mailed: 04/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/12/2001 TGEDAHU1 00000028 09823208

FILED UNDER 37 CFR 1.53(b)

01 FC:101
02 FC:102
03 FC:103
04 FC:105

710.00 OP
560.00 OP
1368.00 OP
130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1928.
 - \$1368 for 76 total claims over 20.
 - \$560 for 7 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2768.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No. : SYM-0625-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Herrod, et al.

Serial No.: 09/107,838

Filed: June 30, 1998

For: CONFIGURABLE OPERATING
SYSTEM FOR I/O CONNECTIVITY

) Art Unit:

) Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents

Washington, D.C. 20231

Attn: Box Missing Parts

on 9-29-98
Date

Tara Hayden

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated July 20, 1998, please find enclosed herewith:

1. Declaration executed by the inventors;
2. Power of Attorney executed by an officer of assignee, Symbol

Technologies, Inc.;

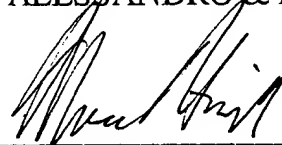
3. Check in the amount of \$ 2,288.00 for filing fee and surcharge for assignee as a large entity calculated as follows:

| | |
|---|------------------|
| Basic filing fee - Fee Code 101 | \$ 790.00 |
| 3 additional independent claim(s) - Fee Code 102 | \$ 246.00 |
| 51 additional claims in excess of twenty - Fee Code 103 | \$ 1,122.00 |
| <u>Surcharge - Fee Code 105</u> | <u>\$ 130.00</u> |
| Total | \$ 2,288.00 |

4. Copy of Notice to File Missing Parts.

The Assistant Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 04-0025. A duplicate of this transmittal page is enclosed.

Respectfully submitted,
D'ALESSANDRO & RITCHIE



Marc S. Hanish
Reg. No. 42,626

Dated: September 23, 1998

D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
(408) 441-1100



See for \$

PATENT

Docket No.: SYM-0625-1B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2182 Examiner: Unknown
Serial No. 09/823,208 Filed: March 30, 2001

In re Application of: Herrod, et al.

For: CONFIGURABLE OPERATING SYSTEM FOR I/O CONNECTIVITY

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Box Missing Parts, Washington, D.C. 20231 on June 5, 2001, Signed *Carolyn Thompson*

Carolyn Thompson

RESPONSE TO NOTICE TO FILE MISSING PARTS

Director of Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated April 26, 2001, please find enclosed herewith:

1. Declaration executed by the inventor(s) in the prior application, Serial No. 09/107,838, filed June 30, 1998, along with the response to Missing Parts of Application in said file;

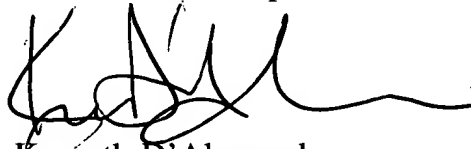
2. Check in the amount of \$2768.00 for filing fee and surcharge for assignee as a large entity calculated as follows:

| | |
|---|------------|
| Basic filing fee - Fee Code 101 | \$ 710.00 |
| 7 additional independent claims - Fee Code 102 | \$ 560.00 |
| 76 additional claims in excess of twenty - Fee Code 103 | \$ 1368.00 |
| Surcharge - Fee Code 105 | \$ 130.00 |
| Total | \$ 2768.00 |

3. Copy of Notice to File Missing Parts.

The Assistant Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0612. A duplicate of this transmittal page is enclosed.

Respectfully submitted,
Sierra Patent Group, Ltd.

A handwritten signature in black ink, appearing to read 'Kenneth D' Alessandro', with a long horizontal flourish extending to the right.

Kenneth D' Alessandro
Reg. No. 29,144

Dated: June 5, 2001

Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449
(775) 586-9500



Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/107,838 06/30/98 HERROD A SYM-0625-1

0242/0720

KENNETH D'ALESSANDRO
D'ALESSANDRO & RITCHIE
P O BOX 640640
SAN JOSE CA 95164-0640

NOT ASSIGNED

2773

DATE MAILED:

07/20/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 2288.00

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1368, including any multiple dependent claim fees, are required.

\$ 246 for 3 independent claims over 3.

\$ 1122 for 51 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

~~Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.~~

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2: COPY TO BE RETURNED WITH RESPONSE